(I) (a) Statement showing Shareholding Pattern

Name of the Company:	SWASTIK S	AFE DEPOSIT AND INVEST	MENTS LIMITED						
Scrip Code, Name of the scrip, class of security:		BSE- 501386 , DSE- 19283 ; SWASTIK SAFE DEPOSIT AND INVESTMENTS LIMITED ; Equity							
Quarter ended:	30.09.2014								
Partly paid-up shares:	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company						
Held by Promoter / Promoter Group	0	0.00	0.00						
Held by public	0	0.00	0.00						
Total	0	0.00	0.00						
Outstanding convertible securities:	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares o the company, assuming full conversion of the convertible securities						
Held by Promoter / Promoter Group	0	0.00	0.00						
Held by public	0	0.00	0.00						
Total	0	0.00	0.00						
Warrants:	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants						
Held by Promoter / Promoter Group	0	0.00	0.00						
Held by public	0	0.00	0.00						
Total	0	0.00	0.00						
Total paid-up capital of the company assuming full conversion of warrants and convertible securities	Same as present paid up	o capital as there are no warran	ts and convertible securities.						

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised	percentage of sh	holding as a total number of ares	Shares pledged or otherwise encumbered		
				form	As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII)/(IV)*100	
(A)	Promoter and Promoter Group								
1	Indian								
(a)	Individuals/Hindu Undivided Family	0	0	0		0.00	0		
(b)	Central Government/State Government(s)	0	0	0		0.00	0	0.00	
(c)	Bodies Corporate	1	178,535	0		74.39	0		
(d)	Financial Institutions / Banks	0	0		0.00	0.00	0		
(e)	Any Other (specify)	0	0	0	0.00	0.00	0		
	Sub Total (A)(1)	1	178,535	0	74.39	74.39	0	0.00	
2	Foreign								
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00	
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00	
(c)	Institutions	0	0	0	0.00	0.00	0	0.00	
(d)	Qualified Foreign Investors	0	0	0	0.00	0.00	0	0.00	
(e)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00	
	Sub Total (A)(2)	0	0	0	0.00	0.00	0	0.00	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	1	178,535	0	74.39	74.39	0	****	
(B)	Public shareholding						NA	NA	
1	Institutions						NA	NA	
(a)	Mutual Funds/UTI	0	0	0	0.00	0.00	-	-	
(b)	Financial Institutions / Banks	0	0	0	0.00	0.00	-	-	
(c)	Central Government/State Government(s)	0	0	0	0.00	0.00	-	-	
(d)	Venture Capital Funds	0	0	0	0.00	0.00	-	-	
(e)	Insurance Companies	0	0	0	0.00	0.00	-	-	
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	-	-	
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-	
(h)	Qualified Foreign Investors	0	0	0	0.00	0.00	-	-	
(I)	Any Other (specify)	0	0	0	0.00	0.00	-	-	
	Sub Total (B) (1)	0	0	0	0.00	0.00			
2	Non-institutions						NA	NA	
(a)	Bodies Corporate	3	4,349	0		1.81	-	-	
(b) (i)	Individual shareholders holding nominal share capital up to Rs 1 Lakh	290	46,216	245	19.26	19.26	-	-	
(ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	1	10,900	0	4.54	4.54	-	-	
(c)	Qualified Foreign Investors	0	0	0	0.00	0.00	-	-	
(d)	Any Other (specify)	0	0	0	0.00	0.00	-	-	
	Sub Total (B)(2)	294	61,465	245	25.61	25.61	-	-	
	Total Public Shareholding Public Group (B)=(B)(1)+(B)(2)	294	61,465	245	25.61	25.61	NA	NA	
	Total (A)+(B)	295	240,000	245	100.00	100.00			
<u>(C)</u>	Shares held by custodians and against which Depository Receipts have been issued				NA		NA	NA	
i	Promoter and Promoter group	0	0	0	0.00	0.00	0.00	0.00	
ii	Public	0	0	0	0.00	0.00	0.00	0.00	
	Sub Total (C)	0	0	0	0.00	0.00	0.00	0.00	
	GRAND TOTAL (A)+(B)+(C)	295	240,000	245	100.00	100.00	0.00	0.00	

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of	Shares held		Encumbered sl	hares	Details o	of warrants		convertible urities	Total shares (including underlying shares
		Number of shares held	As a % of grand toal (A)+(B)+(C)	No.	As a percentage	As a % of grand toal (A)+(B)+(C) of sub-clause (I)(a)	warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	assuming full conversion of warrants and convertible securities) as a % of diluted share capital
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/ (III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Mr. Ajay G. Piramal	-	-		,	-	-		-	_	
2	Dr. (Mrs.) Swati A. Piramal	-	-			-		-		-	
3	Mr. Anand Piramal	-					-	-	-	-	-
4	Ms. Nandini Piramal	<u> </u>	-					-	-	-	
5	Mrs. Lalita G. Piramal	-	-			-	-	-	-	-	
6	Mr. Peter DeYoung	-				-			-	-	
7	Ms. Anya Piramal DeYoung	-	•			-		-		-	
8	Master Dev Piramal DeYoung	-				-		-	-	-	
9	Mr. Ajay G. Piramal (Karta of Ajay G Piramal HUF)	-				-	-	-	-	-	
10	Mr. Ajay G. Piramal (Karta of Gopikishan Piramal HUF)	-	-			-	-			-	
11	AASAN Developers & Constructions Pvt. Ltd	 	-		1	-		 	ļ .	-	
12	Adelwise Investments Pvt. Ltd.		-	-		-				-	
13	Akshar Fincom Pvt. Ltd.	· · · · · · -				-				-	
14	Alpex Holdings Pvt. Ltd.		_							-	
15	Alpex InfraConstructions Pvt. Ltd.	-	-		-	-		-	-		
16	Alpex Power Pvt. Ltd.	-	-			-		-		-	
17	Cadeau Laboratories Pvt. Ltd.	-	-			-					
18	Float Developers Pvt. Ltd.	-	-			-		-	-	-	
19	Gerah Developers Pvt. Ltd.	-	-		-		-	-			
20	Gerah Enterprises Pvt. Ltd.	-	-			-				-	
21	Gerbe Developers Pvt. Ltd.	 	-			-		-		-	
22	Gliders Buildcon LLP	-	-			-		-		-	
23	IndiaVenture Advisors Pvt. Ltd.	-	-		1			-		-	
24	Kaivalya Education Foundation	-	-			-		-		-	
25	Nicholas Piramal Pharma Pvt. Ltd.		-		-					-	
26	Nival Developers Pvt. Ltd.	-	-			-		-		-	
27	Oxygen Bio Research Pvt. Ltd	· -			-	-		-		-	
28	PCE Developers Pvt. Ltd.	-	-					-	•	-	
29	PDL Realty Pvt. Ltd		-		<u> </u>	-		-		-	
30	PDL Properties LLP	-	-		ļ	1				<u> </u>	
31	PEL Finhold Pvt. Ltd.	<u> </u>			<u> </u>			<u> </u>	ļ <u>.</u>		
32	PEL Fininvest Pvt. Ltd.		-		1	1	ļ	<u> </u>	<u> </u>	-	
33	PEL InfraConstructions & Developers Pvt. Ltd.		-		<u> </u>		L			ļ	
34	PEL Management Services Pvt. Ltd.	<u> </u>					:	ļ	<u> </u>	-	
35	PHL Capital Pvt. Ltd	·			1			ļ	ļ <u>.</u>	-	
36	PHL Fininvest Pvt. Ltd.	1	-		<u> </u>	-		<u> </u>		ļ .	
	PHL Infrastructure Finance Company Pvt. Ltd.	-				1		<u> </u>		_	
38	Piramal Advanced Systems Pvt. Ltd.		-		1				-	-	
39	Piramal Aerospace Pvt. Ltd.	 -	-			 	-				
40	Piramal Aerostructures Pvt. Ltd.	-	-		-	1 -	1 -	1 *		i -	

Sr. No.	Name of the shareholder		Shares held		Encumbered sh			f warrants	sect	convertible arities	Total shares (including underlying shares
		Number of shares held	As a % of grand toal (A)+(B)+(C)	No.	As a percentage	As a % of grand toal (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	assuming full conversion of warrants and convertible securities) as a % of diluted share capital
(I)	(11)	(111)	(IV)	(V)	(VI)=(V)/ (III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
41	Piramal Agriculture Pvt. Ltd.	 -	-				-	-	-	-	-
42	Piramal Architects & Engineers Pvt. Ltd.	-	-	-	-	-	-	-	_		
	Piramal Auto Pvt. Ltd.	-				-	-	-	-	-	-
	Piramal Biotech Pvt. Ltd.	 	-				-		-	-	
	Piramal Building Material and Cement Pvt. Ltd.	-	-				-	-	-		
	Piramal Chemtech and Fertilizers Pvt. Ltd.	-	-			-		-	-	-	
47	Piramal Consumer Products Pvt. Ltd.	_	-		-	-	-	-	-	-	•
	Piramal Commercial Estates LLP	-	-		-			-		-	
49	Piramal Corporate Services Ltd.	178,535	74.39		0.00	0.00	0.00	0.00	0.00	0.00	178,535
50	Piramal Data Integrity Private Ltd.	-			-	-	-	-		-	-
51	Piramal Defence Equipments Pvt. Ltd.	1 -	-			-	-	-	-	-	
52	Piramal Electrosystems Pvt. Ltd.	-	-			-	-	_	-	-	-
53	Piramal Enterprises Ltd.	-				-		-	-	-	
54	Piramal Entertainment Pvt. Ltd.	-				_	-	-	-		-
	Piramal Estates Pvt. Ltd.	-			-	-	-	-	-	-	
56	Piramal e-Shopping Pvt. Ltd.		-			-	-	-	-		
	Piramal Finance Pvt. Ltd.			-	-	_	-	-		-	
	Piramal Flight Systems Pvt. Ltd.	-	-			-		-		-	
	Piramal Forging Pvt. Ltd.	ļ			-	-	-	-	-	-	
	Piramal Foundation for Education Leadership		-			-	-	-	-	-	
61	Piramal Fund Management Pvt. Ltd.	1									
	Piramal Glass Ltd.	-	-		-	-	-	-	_	-	
	Piramal Healthcare Foundation	<u> </u>			-		-	-	-		
64	Piramal Higher Education Pvt. Ltd.	+	-				-	-	-		
	Piramal Hospitality Pvt. Ltd.	 	-			-		_	-	-	
66	Piramal International Consultants Pvt. Ltd.	-	-		-			-	-	-	
67	Piramal Investment Advisory Services Pvt. Ltd.	-	-		-	-		-	-	-	
68	Piramal Management Services Pvt. Ltd.	†	-		-			-	-	-	
	Piramal Media Pvt. Ltd.	+	-			-		-	-		
70	Piramal Metals Pvt. Ltd.	·	-		-			-	-	-	
71	Piramal Natural Resources Pvt. Ltd.	-	-		-	-		-			
72	Piramal Offsore Pvt. Ltd.	-	-		-	-		-		-	
73	Piramal Oil & Gas Pvt. Ltd.	 	-		-	-		-			
74	Piramal Packaging Pvt. Ltd.	1	-		-				-		
75	Piramal Pharmaceutical Development Services Pvt. Ltd.	-	-		•			-	-	-	
76	Piramal Phytocare Ltd.	1	-		-				-		
77	Piramal Projects & Constructions Pvt. Ltd.	1 -	-		-			-	·		
78	Piramal Realty Pvt. Ltd.	-			-			-			
79	Piramal Retail Pvt. Ltd.	†	-		-			-			
80	Piramal Residences Pvt. Ltd.	1	-		-			-			
81	Piramal Security Pvt. Ltd.	1	-		-			-			
82	Piramal Shipyard Pvt. Ltd.	1 -	-		-			-			

Sr. No.	Name of the shareholder		Shares held		Encumbered sh		Details of warrants		Details of convertible securities		Total shares (including underlying shares
		Number of shares held	As a % of grand toal (A)+(B)+(C)	No.	As a percentage	As a % of grand toal (A)+(B)+(C) of sub-clause (I)(a)	warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	assuming full conversion of warrants and convertible securities) as a % of diluted share capital
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/ (III)*100	(VII)	(VIII)	(DX)	(X)	(XI)	(XII)
83	Piramal Sports Pvt. Ltd.	-	-	-	-		-	-		-	-
84	Piramal Systems & Technologies Pvt. Ltd.	-	-	-	-			-			-
85	Piramal Televentures Pvt. Ltd.	-	-				-	-	-		
86	Piramal Texturising Pvt. Ltd.	-	-		-			-	-		
87	Piramal Udgam Data Management Solutions	-	-	-	-		-	-	-		-
88	Piramal Urban Transport Network Pvt. Ltd.	-	-	-			-	-			
89	Piramal Water Pvt. Ltd.	-	-	-			-	-	-		
90	PRL Developers Pvt. Ltd.	-	-	-			-				-
91	PRL Properties LLP	-	-	-	-			-			-
92	PRL Realtors LLP	-	-	-			-	-			
93	Propintel Advisors Pvt. Ltd.	-	-	-				-			-
94	Propiedades Realties Pvt. Ltd.	-	-					-			
95	Thaler Developers Pvt. Ltd.	-	-	-				-	-		-
96	The Ajay G. Piramal Foundation	-	-	-				-			
97	The Sri Gopikrishna Trust	-	-					-	-		
98	The Sri Govinda Trust	-	-	-				-	-		
99	The Sri Hari Trust	-	-	-				-			-
100	The Sri Krishna Trust	-	-			-		-			
101	Thoughtful Realtors Pvt. Ltd.	-	-					-			
102	The Piramal Art Foundation	-	-					-	-		-
103	Vulcan Investments Pvt. Ltd.	1 .	-				-	-			
104	V3 Designs LLP	-	-	-				-			
	TOTAL	178,535	74.39	0	0.00	0.00	0.00	0.00	0.00	0.00	178,535

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of	Shares as a percentage	Details	of warrants	Details o	f convertible	Total shares (including
		shares held	of total number of			securities		underlying shares
			shares {i.e., Grand Total	Number As a % total		Number of	% w.r.t total	assuming full conversion
			(A)+(B)+(C) indicated in	of number of		convertible	number of	of warrants and
			Statement at para (I)(a)	warrants of		securities	convertible	convertible securities) as
			above}	held	the same class	held	securities of the	a % of diluted share
							same class	capital
1	Sudhir Merchant	10,900	4.54	0	0.00	0	0.00	10,900
2	Arvind P Agarwal	9,500	3.96	0	0.00	0	0.00	9,500
3	Mangala P Malhotra	7,000	2.92	0	0.00	0	0.00	7,000
4	Innovador Realtors Pvt. Ltd.	4,300	1.79	0	0.00	0	0.00	4,300
	TOTAL	31,700	13.21	0	0.00	0	0.00	31,700

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s)	Number of	Shares as a percentage			Details o	f convertible	Total shares (including
	and the Persons Acting in	shares	of total number of	Details	Details of warrants		urities	underlying shares
	Concert (PAC) with them		shares {i.e., Grand Total	Number	Number As a % total		% w.r.t total	assuming full conversion
			(A)+(B)+(C) indicated in	of number of		convertible	number of	of warrants and
			Statement at para (I)(a)	warrants of s		securities	convertible	convertible securities) as
			above}		the same class		securities of the	a % of diluted share
							same class	capital
	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Total	Nil	Nil	Nil	Nil	Nil	Nil	Nil

(I) (d) Statement showing details of locked-in shares

Sr. No.	Name(s) of the shareholder(s)	Number of Locked in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	Nil	Nil	Nil
	Total	Nil	Nil

(iii) (a) Statement showing the Voting Patterns of Shareholders, if more than one class of Shares/Securities is issued by the issuer

(Give description of voting rights for each class of security)

 $\begin{array}{ccc} \text{Class X:} & \text{N.A.} \\ \text{Class Y:} & \text{N.A.} \\ \text{Class Z:} & \text{N.A.} \\ \end{array}$

C 1		N.A.	477.41	D: 14		Total Voting Rights i.e.		
Category Code	Category of Shareholder		r of Voting		Total			
Code	Shareholder	Class X	Class Y	Class Z	Voting Rights (III+IV+V)	As a percentage of (A+B)	As a percentage of (A+B+C)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	
(A)	Shareholding of Promoter and Promoter Group	, ,	, í	, ,	, ,		, ,	
1	Indian							
(a)	Individuals/ Hindu Undivided Family	-	-	-	-	-	-	
(b)	Central Government/ State Government(s)	-	-	-	-	1	-	
(c)	Bodies Corporate	-	-	-	-	-	-	
(d)	Financial Institutions/ Banks	-	-	-	-	1	-	
(e)	Any Others(Specify)	-	-	-	-	1	-	
	Sub Total(A)(1)	-	-	-	-	ı	-	
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	-	-	-	-	-	-	
b	Bodies Corporate	-	-	-	-	-	-	
С	Institutions	-	-	-	-	-	-	
d	Any Others(Specify)	-	-	-	-	-	-	
	Sub Total(A)(2)	-	-	-	-	-	-	
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	-	-	-	-	-	-	
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	-	-	-	-	-	-	
(b)	Financial Institutions / Banks	-	-	-	-	-	-	
(c)	Central Government/ State Government(s)	-	-	-	-	-	-	
(d)	Venture Capital Funds	-	-	-	-	-	-	
(e)	Insurance Companies	-	-	-	-	-	-	
(f)	Foreign Institutional Investors	-	-	-	-	-	-	
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	
(h)	Any Other (specify)	-	-	-	-	-	-	
	Sub-Total (B)(1)	-	-	-	-	1	-	
2	Non-institutions							
	Bodies Corporate	-	-	-	-	-	-	
(b)	Individuals	-	-	-	-	-	-	
	(i) Individual shareholders holding nominal share capital up to Rs 1 lakh	-	-	-	-	-	-	
	(ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	-	-	-	-	-	-	
(c)	Any Other (specify)	-	-	-	-	-	-	
	Sub-Total (B)(2)	-	-	-	-	-	-	
	Total Public Shareholding (B)= (B)(1)+(B)(2)	_	-	-	-	-	-	
	TOTAL (A)+(B)	_	-	-	-	-	-	
(C)	Shares held by Custodians and against which Depository Receipts have been issued	-	-	-	-	-	-	
	GRAND TOTAL (A)+(B)+(C)	_	_	_	-	_	_	