

(I) (a) Statement showing Shareholding Pattern

Name of the Company:		SWASTIK SAFE DEPOSIT AND INVESTMENTS LIMITED		
Scrip Code, Name of the scrip, class of security:		BSE- 501386 , DSE- 19283 ; SWASTIK SAFE DEPOSIT AND INVESTMENTS LIMITED ; Equity		
Quarter ended:		30.09.2014		
Partly paid-up shares:	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company	
Held by Promoter / Promoter Group	0	0.00	0.00	
Held by public	0	0.00	0.00	
Total	0	0.00	0.00	
Outstanding convertible securities:	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities	
Held by Promoter / Promoter Group	0	0.00	0.00	
Held by public	0	0.00	0.00	
Total	0	0.00	0.00	
Warrants:	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants	
Held by Promoter / Promoter Group	0	0.00	0.00	
Held by public	0	0.00	0.00	
Total	0	0.00	0.00	
Total paid-up capital of the company assuming full conversion of warrants and convertible securities	Same as present paid up capital as there are no warrants and convertible securities.			

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII)/(IV)*100
(A)	Promoter and Promoter Group							
1	Indian							
(a)	Individuals/Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00
(b)	Central Government/State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	1	178,535	0	74.39	74.39	0	0.00
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total (A)(1)	1	178,535	0	74.39	74.39	0	0.00
2	Foreign							
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00
(d)	Qualified Foreign Investors	0	0	0	0.00	0.00	0	0.00
(e)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total (A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	1	178,535	0	74.39	74.39	0	0.00
(B)	Public shareholding						NA	NA
1	Institutions						NA	NA
(a)	Mutual Funds/UTI	0	0	0	0.00	0.00	-	-
(b)	Financial Institutions / Banks	0	0	0	0.00	0.00	-	-
(c)	Central Government/State Government(s)	0	0	0	0.00	0.00	-	-
(d)	Venture Capital Funds	0	0	0	0.00	0.00	-	-
(e)	Insurance Companies	0	0	0	0.00	0.00	-	-
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	-	-
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-
(h)	Qualified Foreign Investors	0	0	0	0.00	0.00	-	-
(i)	Any Other (specify)	0	0	0	0.00	0.00	-	-
	Sub Total (B) (1)	0	0	0	0.00	0.00		
2	Non-institutions						NA	NA
(a)	Bodies Corporate	3	4,349	0	1.81	1.81	-	-
(b) (i)	Individual shareholders holding nominal share capital up to Rs 1 Lakh	290	46,216	245	19.26	19.26	-	-
(b) (ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	1	10,900	0	4.54	4.54	-	-
(c)	Qualified Foreign Investors	0	0	0	0.00	0.00	-	-
(d)	Any Other (specify)	0	0	0	0.00	0.00	-	-
	Sub Total (B)(2)	294	61,465	245	25.61	25.61	-	-
	Total Public Shareholding Public Group (B)=(B)(1)+(B)(2)	294	61,465	245	25.61	25.61	NA	NA
	Total (A)+(B)	295	240,000	245	100.00	100.00		
(C)	Shares held by custodians and against which Depository Receipts have been issued				NA		NA	NA
i	Promoter and Promoter group	0	0	0	0.00	0.00	0.00	0.00
ii	Public	0	0	0	0.00	0.00	0.00	0.00
	Sub Total (C)	0	0	0	0.00	0.00	0.00	0.00
	GRAND TOTAL (A)+(B)+(C)	295	240,000	245	100.00	100.00	0.00	0.00

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Sudhir Merchant	10,900	4.54	0	0.00	0	0.00	10,900
2	Arvind P Agarwal	9,500	3.96	0	0.00	0	0.00	9,500
3	Mangala P Malhotra	7,000	2.92	0	0.00	0	0.00	7,000
4	Innovador Realtors Pvt. Ltd.	4,300	1.79	0	0.00	0	0.00	4,300
TOTAL		31,700	13.21	0	0.00	0	0.00	31,700

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Total		Nil	Nil	Nil	Nil	Nil	Nil	Nil

(I) (d) Statement showing details of locked-in shares

Sr. No.	Name(s) of the shareholder(s)	Number of Locked in shares	Locked-in shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]
	Nil	Nil	Nil
Total		Nil	Nil

(iii) (a) Statement showing the Voting Patterns of Shareholders,if more than one class of Shares/Securities is issued by the issuer

(Give description of voting rights for each class of security)

Class X: N.A.
Class Y: N.A.
Class Z: N.A.

Category Code	Category of Shareholder	Number of Voting Rights			Total Voting Rights (III+IV+V)	Total Voting Rights i.e.	
		Class X	Class Y	Class Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Shareholding of Promoter and Promoter Group						
1	Indian						
(a)	Individuals/ Hindu Undivided Family	-	-	-	-	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-
(c)	Bodies Corporate	-	-	-	-	-	-
(d)	Financial Institutions/ Banks	-	-	-	-	-	-
(e)	Any Others(Specify)	-	-	-	-	-	-
	Sub Total(A)(1)	-	-	-	-	-	-
2	Foreign						
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	-	-	-	-	-	-
b	Bodies Corporate	-	-	-	-	-	-
c	Institutions	-	-	-	-	-	-
d	Any Others(Specify)	-	-	-	-	-	-
	Sub Total(A)(2)	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	-	-	-	-	-	-
(B)	Public shareholding						
1	Institutions						
(a)	Mutual Funds/ UTI	-	-	-	-	-	-
(b)	Financial Institutions / Banks	-	-	-	-	-	-
(c)	Central Government/ State Government(s)	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-
(f)	Foreign Institutional Investors	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-
(h)	Any Other (specify)	-	-	-	-	-	-
	Sub-Total (B)(1)	-	-	-	-	-	-
2	Non-institutions						
(a)	Bodies Corporate	-	-	-	-	-	-
(b)	Individuals	-	-	-	-	-	-
	(i) Individual shareholders holding nominal share capital up to Rs 1 lakh	-	-	-	-	-	-
	(ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	-	-	-	-	-	-
(c)	Any Other (specify)	-	-	-	-	-	-
	Sub-Total (B)(2)	-	-	-	-	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)	-	-	-	-	-	-
	TOTAL (A)+(B)	-	-	-	-	-	-
(C)	Shares held by Custodians and against which Depository Receipts have been issued	-	-	-	-	-	-
	GRAND TOTAL (A)+(B)+(C)	-	-	-	-	-	-